

# NYSERDA Energy Efficiency Projects

1. **Question Ref:** Q\_999  
**Question Type:** Threshold

Will/Does your project/facility incorporate energy efficiency measures or need assistance with energy modeling/energy audit? LIPA, NYPA, and Municipal Utility customers please view help.

**Required:** Yes  
**Answer Type:** Short Answer  
**Choice Options:**  
**Default Answer:**

## HELP SECTION

Incentives are available to electricity and natural gas distribution customers of Central Hudson Gas & Electric Corporation, Consolidated Edison Company of New York, Inc., New York State Electric & Gas Corporation, National Grid, Orange and Rockland Utilities, Inc. and Rochester Gas and Electric Corporation who contribute to the System Benefits Charge/Renewable Portfolio Standard (sometimes referred to as SBC/RPS on customer utility bills).

Utility customers of the New York Power Authority (NYPA), the Long Island Power Authority (LIPA), and Municipal utility customers that do not pay into the System Benefits Charge (SBC) and are not eligible to participate with the exception of programs covered under Green Jobs-Green NY. Customers in those utility territories who have an average annual demand of 100kW or less can receive an audit through the FlexTech Energy Audits Program. Please review your latest utility bill for the SBC line item.

2. **Question Ref:** Q\_1034  
**Question Type:** Basic

Project Zip Code. (please use Zip+4 if known)

**Required:** No  
**Answer Type:** Short Answer  
**Choice Options:**  
**Default Answer:**

3. **Optional Question Header:**

**Applicant Information**

**Question Ref:** Q\_546  
**Question Type:** Basic

Legal Name of Applicant

**Required:** Yes  
**Answer Type:** Short Answer  
**Choice Options:**  
**Default Answer:**

4. **Question Ref:** Q\_547  
**Question Type:** Basic

Contact First Name

**Required:** Yes  
**Answer Type:** Short Answer  
**Choice Options:**  
**Default Answer:**

5. **Question Ref:** Q\_549  
**Question Type:** Basic

Type of Applicant (select all that apply)

**Required:** Yes  
**Answer Type:** Multi Choice  
**Choice Options:** Federal, State, County, City, Town, Village, Tribal, School District, County or Town Improvement District, District Corporation, For-Profit, Not-For-Profit, Individual, Business Corporation, IDA, LDC,LLC,LLP, Public Authority, Public Benefit Corp, Sole-Proprietorship. HDFC,BID, LP  
**Default Answer:**

6. **Question Ref:** Q\_550  
**Question Type:** Basic

If you are a DBA, what is your DBA name?

**Required:** No  
**Answer Type:** Short Answer

**Choice Options:**  
**Default Answer:**

7. **Question Ref:** Q\_551  
**Question Type:** Basic

Applicant Street Address

**Required:** Yes  
**Answer Type:** Short Answer  
**Choice Options:**  
**Default Answer:**

8. **Question Ref:** Q\_552  
**Question Type:** Basic

Applicant City

**Required:** Yes  
**Answer Type:** Short Answer  
**Choice Options:**  
**Default Answer:**

9. **Question Ref:** Q\_553  
**Question Type:** Basic

Applicant State

**Required:** Yes  
**Answer Type:** Short Answer  
**Choice Options:**  
**Default Answer:**

10. **Question Ref:** Q\_554  
**Question Type:** Basic

Applicant Zip Code. (please use Zip+4 if known)

**Required:** Yes  
**Answer Type:** Short Answer  
**Choice Options:**  
**Default Answer:**

11. **Question Ref:** Q\_555  
**Question Type:** Basic

Applicant Email Address

**Required:** Yes  
**Answer Type:** Short Answer  
**Choice Options:**  
**Default Answer:**

12. **Question Ref:** Q\_561  
**Question Type:** Basic

Additional Contact Email Address

**Required:** No  
**Answer Type:** Short Answer  
**Choice Options:**  
**Default Answer:**

13. **Question Ref:** Q\_562  
**Question Type:** Basic

Additional Contact Phone Number. (please include area code)

**Required:** No  
**Answer Type:** Short Answer  
**Choice Options:**  
**Default Answer:**

14. **Question Ref:** Q\_565  
**Question Type:** Basic

Project City

**Required:** No

**Answer Type:** Short Answer

**Choice Options:**

**Default Answer:**

15. **Optional Question Header:**

**Project Description**

**Question Ref:** Q\_575

**Question Type:** Basic

Project Description. Concisely describe the project, indicating the location, what will be planned, designed, and/or constructed, the issues/opportunities to be addressed, and expected outcomes and deliverables. Additional details will be collected later in the application process.

**Required:** Yes

**Answer Type:** Long Answer

**Choice Options:**

**Default Answer:**

16. **Question Ref:** Q\_651

**Question Type:** Basic

Applicant Telephone Number, (please include area code)

**Required:** Yes

**Answer Type:** Short Answer

**Choice Options:**

**Default Answer:**

17. **Question Ref:** Q\_928

**Question Type:** Basic

Project Street Address: if the project does not have a definite street address, please skip to "Project without a Street Address" below.

**Required:** No  
**Answer Type:** Short Answer  
**Choice Options:**  
**Default Answer:**

18. **Question Ref:** Q\_929  
**Question Type:** Basic

Current State of Project Development (i.e. planning, preliminary engineering, final design, etc)

**Required:** Yes  
**Answer Type:** Short Answer  
**Choice Options:**  
**Default Answer:**

19. **Question Ref:** Q\_930  
**Question Type:** Basic

Explain what makes your project a regional economic priority - for example creates jobs, economic investment, sustainability and community revitalization, etc.

**Required:** Yes  
**Answer Type:** Long Answer  
**Choice Options:**  
**Default Answer:**

20. **Question Ref:** Q\_970  
**Question Type:** Basic

Additional Project Contact Last Name

**Required:** No  
**Answer Type:** Short Answer  
**Choice Options:**  
**Default Answer:**

21. **Question Ref:** Q\_972  
**Question Type:** Basic

Project county or counties.

**Required:** Yes  
**Answer Type:** Short Answer  
**Choice Options:**  
**Default Answer:**

22. **Question Ref:** Q\_1049  
**Question Type:** Basic

Contact Last Name

**Required:** Yes  
**Answer Type:** Short Answer  
**Choice Options:**  
**Default Answer:**

23. **Question Ref:** Q\_1050  
**Question Type:** Basic

Contact Title

**Required:** No  
**Answer Type:** Short Answer  
**Choice Options:**  
**Default Answer:**

24. **Question Ref:** Q\_1051  
**Question Type:** Basic

Additional Contact Title

**Required:** No  
**Answer Type:** Short Answer  
**Choice Options:**  
**Default Answer:**

25. **Question Ref:** Q\_1052

**Question Type:** Basic

Additional Project Contact First Name

**Required:** No

**Answer Type:** Short Answer

**Choice Options:**

**Default Answer:**

26. **Question Ref:** Q\_1603

**Question Type:** Basic

Was this project or a phase of this project awarded funding in CFA Round I ?

**Required:** Yes

**Answer Type:**

**Choice Options:**

**Default Answer:**

27. **Question Ref:** Q\_1604

**Question Type:** Basic

If yes, with which agency(s)?

**Required:** No

**Answer Type:**

**Choice Options:** Agriculture and Markets; Empire State Development; Canals; Dept of State; Housing & Community Renewal; Parks & Recreation; Transportation; Environmental Facilities Corp; Dept of Labor; NYSERDA

**Default Answer:**

28. **Question Ref:** Q\_1605

**Question Type:** Basic

If funding was received in a prior round of the CFA, indicate the amount of funding received.



**Required:** No  
**Answer Type:**  
**Choice Options:** 0,  
**Default Answer:**

29. **Question Ref:** Q\_1058  
**Question Type:** Attachment

For eligible pre-qualified incentives through NYSERDA's Existing Facilities Program, please download and complete the appropriate measure worksheet(s) and submit them with your application either by email or hard copy. Please include your application number on all documentation and in the subject line if sending by email. Click on 'View Help' for list of optional documents.

**Required:** No  
**Answer Type:** Attachment  
**Choice Options:**  
**Default Answer:**

#### HELP SECTION

Please download and complete the appropriate measure worksheet(s) and submit with your application. For the most current list of measure worksheets and updated program information please visit: [www.nyserda.ny.gov/efp-pre-qualified](http://www.nyserda.ny.gov/efp-pre-qualified)

**Lighting** - [www.nyserda.ny.gov/efp-lighting](http://www.nyserda.ny.gov/efp-lighting)

**HVAC** - [www.nyserda.ny.gov/efp-hvac](http://www.nyserda.ny.gov/efp-hvac)

**Chillers** - [www.nyserda.ny.gov/efp-chillers](http://www.nyserda.ny.gov/efp-chillers)

**Motors** - [www.nyserda.ny.gov/efp-motors](http://www.nyserda.ny.gov/efp-motors)

**Interval Meters** - [www.nyserda.ny.gov/efp-interval-meter](http://www.nyserda.ny.gov/efp-interval-meter)

**Variable Frequency Drives** - [www.nyserda.ny.gov/efp-vfd](http://www.nyserda.ny.gov/efp-vfd)

**Commercial Refrigeration** - [www.nyserda.ny.gov/efp-refrigeration](http://www.nyserda.ny.gov/efp-refrigeration)

**Commercial Kitchen Equipment and Washers** - [www.nyserda.ny.gov/efp-kitchen-washer](http://www.nyserda.ny.gov/efp-kitchen-washer)

**Gas Efficiency - (National Fuel customers < 12,000 Mcf/yr) -**  
[www.nyscrda.ny.gov/efp-national-fuel](http://www.nyscrda.ny.gov/efp-national-fuel)

30. **Question Ref:** Q\_1136  
**Question Type:** Attachment

Please submit a current and complete utility bill reflecting payment into the System Benefits Charge.

**Required:** No  
**Answer Type:**  
**Choice Options:**  
**Default Answer:**

31. **Optional Question Header:**

**NYSERDA Program Questions**

- Question Ref:** Q\_1292  
**Question Type:** Standard Question

Will/Does the project or facility location pay into the System Benefits Charge (SBC)? LIPA, NYPA, and Municipal Utility customers click on help for more information on SBC.

**Required:** Yes  
**Answer Type:** Yes/No  
**Choice Options:**  
**Default Answer:**

**HELP SECTION**

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32. **Question Ref:** Q\_1143  
**Question Type:** Standard Question

Is the proposed project for a small business or not-for-profit with an average electric demand under 100 kW?

**Required:** Yes  
**Answer Type:**  
**Choice Options:**  
**Default Answer:**

33. **Question Ref:** Q\_1144  
**Question Type:** Standard Question

If you are a small business or not-for-profit with an average electric demand under 100 kW, are you looking to receive a free energy audit through Green Jobs-Green NY?

**Required:** Yes  
**Answer Type:**  
**Choice Options:**  
**Default Answer:**

#### HELP SECTION

For more information on available Green Jobs-Green NY programs please visit [www.nyserda.ny.gov/green-ny](http://www.nyserda.ny.gov/green-ny)

34. **Question Ref:** Q\_966  
**Question Type:** Standard Question

What is the Project Category/Project Type?

**Required:** Yes  
**Answer Type:** Single Choice  
**Choice Options:** Energy Study/Audit/Master Plan/Benchmarking - FlexTech Program, New Construction - New Construction Program, Substantial Renovation - New Construction Program, Retrofit to an Existing Building - Existing Facilities Program, Industrial and Process Efficiency Improvement (Includes Data Center/Water/Wastewater)

- Industrial and Process Efficiency Program  
**Default Answer:**

## HELP SECTION

Please visit [www.nyserda.ny.gov/commercial-industrial](http://www.nyserda.ny.gov/commercial-industrial) for more program information

Energy Audit FlexTech Program: [www.nyserda.ny.gov/flextech](http://www.nyserda.ny.gov/flextech)

New Construction Program: Frequently Asked Questions [www.nyserda.ny.gov/ncp-faq](http://www.nyserda.ny.gov/ncp-faq)

Existing Facilities Program: Frequently Asked Questions [www.nyserda.ny.gov/efp-faq](http://www.nyserda.ny.gov/efp-faq)

35. **Question Ref:** Q\_922

**Question Type:** Standard Question

If you selected New Construction or Substantial Renovation in the previous question, please identify the construction type

**Required:** No

**Answer Type:** Single Choice

**Choice Options:** New Building, Industrial/Data Center/Water/Wastewater, Change of Use, Tenant space in new building or substantial renovation project, Reconstruction of a vacant structure or space within (must be vacant for at least 30 days)

**Default Answer:**

36. **Question Ref:** Q\_899

**Question Type:** Standard Question

Does the proposed project have planned electric or natural gas efficiency measures?

**Required:** Yes

**Answer Type:** Single Choice

**Choice Options:** Electric, Natural Gas, Electric and Natural Gas

**Default Answer:**

37. **Question Ref:** Q\_898

**Question Type:** Standard Question

Have project measures, equipment, or scope of work been identified?

**Required:** Yes  
**Answer Type:** Yes/No  
**Choice Options:**  
**Default Answer:**

38. **Question Ref:** Q\_1137  
**Question Type:** Standard Question

Have the measures already been installed?

**Required:** Yes  
**Answer Type:**  
**Choice Options:**  
**Default Answer:**

39. **Question Ref:** Q\_923  
**Question Type:** Standard Question

Please identify the desired assistance. Please view the help section for definitions of assistance.

**Required:** Yes  
**Answer Type:** Multi Choice  
**Choice Options:** Energy Study/Audit/Master Plan/Benchmarking, Pre-Qualified Measures, Existing Building Improvements, Performance Based/Custom Measures, Whole Building Design - New Construction, Green Building/LEED Services, Industrial & Process Efficiency/Data Center/Water/Wastewater, Energy Storage, Demand Response, Combined Heat and Power, Commissioning Services, Monitoring-Based Commissioning  
**Default Answer:**

#### HELP SECTION

**Energy Study/Audit/Master Plan/Benchmarking** - FlexTech provides objective, customized information to help customers make informed energy decisions. Program participants receive analysis targeting their particular energy and business needs. Studies generally are cost-shared 50%.

**Existing Facilities Improvements** - Existing Facilities Program offers incentives to encourage Applicants to implement cost-effective energy efficiency energy savings. Projects must meet minimum incentive projects that deliver verifiable annual thresholds to be eligible for Performance-Based incentives. Electric Incentives are \$0.12 kWh Upstate and \$0.16 kWh Downstate. Gas Incentives are \$15/MMBtu Upstate and \$20/MMBtu Downstate.

**Custom Measure Incentives --New Construction** - Through this approach, potential energy saving opportunities and associated incentives are calculated for a variety of individual measures.

**Whole Building Design-New Construction** - This analysis approach is designed for projects where the applicant elects to examine interactions between energy improvements and their effect on efficiency overall energy needs throughout the entire building.

**Green Building/LEED Services** - The Green Building Option promotes the design and construction of green buildings, giving careful consideration to three main elements: healthy indoor environment, maximum energy efficiency, and conservative, thoughtful use of natural resources.

**Industrial Process and Efficiency/Data Center/Water/Waste Water** - Incentives are provided to offset the costs of projects focused on increasing productivity, increasing process efficiency, reducing waste, and reducing electricity consumption on a per unit of production basis. Electric Incentives are \$0.12/kWh Upstate and \$0.16/kWh Downstate.

**Pre-Qualified Measures** - Pre-Qualified Incentives are provided to encourage applicants to purchase and install more energy-efficient equipment for small-sized energy projects and equipment replacement projects. Gas and Electric incentives are capped at \$30,000 per facility.

**Energy Storage** - Performance-Based Energy Storage incentives are offered for peak demand reductions associated with energy or thermal (ice) storage systems and technologies. Incentives are \$300/kW Downstate and \$600/kW Upstate.

**Demand Response** - Incentives are offered to offset a portion of the technology costs that enable facilities to participate in Demand Response Programs. Common measures include: load shedding controls, automation equipment and new generation equipment. Incentives are \$100/kW Upstate and \$200/kW Downstate.

**Combined Heat and Power** - Performance-Based Combined Heat and Power (CHP) incentives are offered to promote the installation of clean, efficient, and commercially available CHP Systems that provide summer on-peak demand reduction. Incentives are \$0.10/kWh + \$600/kW Upstate and \$0.10/kWh + \$750/kW Downstate.

**Commissioning Services** - For Flex Tech, Retro-commissioning is the systematic process of verifying that all building systems perform interactively according to design intent, that they meet the operational needs of the owners and occupants, and that staff responsible for operation and maintenance are sufficiently trained. For NCP, Building Commissioning Incentives are available to finance a portion of the cost to provide a detailed assessment of building systems and their performance to ensure that they are operating at optimal capacity. For NCP NYSERDA will increase its financial incentives by 10% to offset the applicant's cost of commissioning, up to a maximum NYSERDA contribution of \$25,000.

**Monitored Based Commissioning** - Incentives are offered to support the implementation of continuous commissioning programs and protocols and systems that promote persistent, measurable operational-based energy savings. Incentives are \$0.05/kWh Upstate and \$0.05/kWh Downstate.

40. **Question Ref:** Q\_913

**Question Type:** Standard Question

Facility/Building Use

**Required:** Yes

**Answer Type:** Multi Choice

**Choice Options:** Agricultural, College/University, Commercial Real Estate, Data Center, Healthcare, Hospitality, Industrial/Manufacturing, K-12 School, Local Government, Not-for-Profit, Office, Retail, State Government, Water/Wastewater

**Default Answer:**

41. **Question Ref:** Q\_911  
**Question Type:** Standard Question

Facility/Building Size (sq. ft.)

**Required:** No  
**Answer Type:** Short Answer  
**Choice Options:** 0,  
**Default Answer:**

42. **Question Ref:** Q\_914  
**Question Type:** Standard Question

Project Status

**Required:** Yes  
**Answer Type:** Single Choice  
**Choice Options:** Conceptual, Pre-Design, Schematics, Design Development,  
Construction Documents, Bidding, Under Construction, Installed/Complete  
**Default Answer:**

43. **Question Ref:** Q\_1138  
**Question Type:** Standard Question

Please describe your project timeline?

**Required:** No  
**Answer Type:**  
**Choice Options:**  
**Default Answer:**

44. **Question Ref:** Q\_912  
**Question Type:** Standard Question

Estimated Construction Cost/Project Cost/Study Cost (\$)

**Required:** Yes  
**Answer Type:** Short Answer



**Choice Options:** 0,  
**Default Answer:**

45. **Question Ref:** Q\_921  
**Question Type:** Standard Question

Please indicate the status of ownership

**Required:** Yes  
**Answer Type:** Multi Choice  
**Choice Options:** Owner, Cooperative Owner/Shareholder, Qualified  
Tenant/Leaseholder, Have obtained authorization to make improvements to the facility,  
Submitting a CFA on behalf of one of the above  
**Default Answer:**

46. **Question Ref:** Q\_1010  
**Question Type:** Standard Question

If submitting a CFA on Behalf of ? Please enter your company name

**Required:** No  
**Answer Type:** Short Answer  
**Choice Options:**  
**Default Answer:**

47. **Question Ref:** Q\_1011  
**Question Type:** Standard Question

If submitting a CFA on Behalf of ? Please enter your last name

**Required:** No  
**Answer Type:** Short Answer  
**Choice Options:**  
**Default Answer:**

48. **Question Ref:** Q\_1012  
**Question Type:** Standard Question

If submitting a CFA on Behalf of ? Please enter your first name

**Required:** No

**Answer Type:** Short Answer

**Choice Options:**

**Default Answer:**

49. **Question Ref:** Q\_967

**Question Type:** Standard Question

If submitting a CFA on Behalf of ? Please enter your title

**Required:** No

**Answer Type:** Short Answer

**Choice Options:**

**Default Answer:**

50. **Question Ref:** Q\_900

**Question Type:** Standard Question

Electric Utility Company Name

**Required:** Yes

**Answer Type:** Short Answer

**Choice Options:**

**Default Answer:**

51. **Question Ref:** Q\_902

**Question Type:** Standard Question

Natural Gas Utility Company Name

**Required:** Yes

**Answer Type:** Short Answer

**Choice Options:**

**Default Answer:**

52. **Question Ref:** Q\_1139  
**Question Type:** Standard Question

If known, please enter the estimated annual kW savings that will be generated through the project.

**Required:** No  
**Answer Type:**  
**Choice Options:** 0,  
**Default Answer:**

53. **Question Ref:** Q\_1141  
**Question Type:** Standard Question

If known, please enter the estimated annual kWh savings that will be generated through the project.

**Required:** No  
**Answer Type:**  
**Choice Options:** 0,  
**Default Answer:**

54. **Question Ref:** Q\_1140  
**Question Type:** Standard Question

If known, please enter the estimated annual MMBtu savings that will be generated through the project.

**Required:** No  
**Answer Type:**  
**Choice Options:** 0,  
**Default Answer:**

55. **Question Ref:** Q\_926  
**Question Type:** Standard Question

Please identify how you were referred

**Required:** Yes  
**Answer Type:** Multi Choice

**Choice Options:** Regional Economic Development Council (REDC), Energy Smart Communities Program (E\$C), Climate Smart Communities Program, Business Partners Program, Outreach Project Consultant (OPC), Utility Company, NYSERDA CBO, Marketing Campaign, NYSERDA Website, Vertical Outreach Contractor, Other  
**Default Answer:**

56. **Question Ref:** Q\_927

**Question Type:** Standard Question

Please identify the source of your referral based on your answer to the previous question (Ex. If you were referred by the Regional Economic Development Councils please identify which region) View help for more options

**Required:** No

**Answer Type:** Short Answer

**Choice Options:**

**Default Answer:**

#### HELP SECTION

Regional Economic Development Council (REDC) - Please identify the region

Energy Smart Communities Program (E\$C) - Please identify the region

Climate Smart Communities Program - Please identify the region

Business Partners Program - Lighting, Motors, HVAC

Outreach Project Consultant (OPC) - SAIC, SPC, TA

Utility Company - National Grid, NYSEG, RG&E, etc.

Vertical Outreach Contractor - College & University , Data Center , Industry, Healthcare, Commercial Real Estate, Hospitality, Water/Wastewater, K-12 Schools, State Government, Local Government

Other - Please identify

**57. Optional Question Header:**

**NYSERDA does not require applicants to submit answers to the Funding Sources, Expenditure Activities, and Budget Categories sections found later in the CFA. If that information is available it will be helpful, otherwise please leave 0.00 for those questions in the application and continue. Other programs within the CFA may require the applicant to provide this information. Please refer to the individual agency guidelines when filling out these sections.**

**Question Ref:** Q\_920

**Question Type:** Standard Question

I understand that NYSERDA may require additional project information. (W9, Current Utility Bill, Equipment Specification Sheets, etc.)

**Required:** Yes

**Answer Type:** Yes/No

**Choice Options:**

**Default Answer:**

**58. Optional Question Header:**

**General Certifications**

**Question Ref:** Q\_1037

**Question Type:** Certification

By entering your name in the box below, you certify and agree that you are authorized on behalf of the applicant and its governing body to commit the applicant to comply with the requirements of Article 15-A of the New York State Executive Law: Participation By Minority Group Members and Women

With Respect To State Contracts by providing opportunities for MBE/WBE participation. You further certify that the applicant will maintain such records and take such actions necessary to demonstrate such compliance throughout the completion of the project.

**Required:** Yes

**Answer Type:** Short Answer

**Choice Options:**

**Default Answer:**

59. **Question Ref:** Q\_1038

**Question Type:** Certification

By entering your name in the box below, you certify that you are authorized on behalf of the applicant and its governing body to submit this application. You further certify that all of the information contained in this Application and in all statements, data and supporting documents which have been made or furnished for the purpose of receiving Assistance for the project described in this application, are true, correct and complete to the best of your knowledge and belief. You acknowledge that offering a written instrument knowing that the written instrument contains a false statement or false information, with the intent to defraud the State or any political subdivision, public authority or public benefit corporation of the State, with the knowledge or belief that it will be filed with or recorded by the State or any political subdivision, public authority or public benefit corporation of the State, constitutes a crime under New York State Law.

**Required:** Yes

**Answer Type:** Short Answer

**Choice Options:**

**Default Answer:**

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